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### ► To cite this version:

Olivier Louisnard, C. Cogne, S. Labouret, William Montes Quiroz, R. Peczalski, et al.. Prediction of the acoustic and bubble fields in insonified freeze-drying vials. *Ultrasonics Sonochemistry*, 2015, 26, p. 186-192. 10.1016/j.ultsonch.2015.03.008 . hal-01609205

**HAL Id: hal-01609205**

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Submitted on 5 Sep 2018

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# Prediction of the acoustic and bubble fields in insonified freeze-drying vials

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## ABSTRACT

The acoustic field and the location of cavitation bubble are computed in vials used for freeze-drying, insonified from the bottom by a vibrating plate. The calculations rely on a nonlinear model of sound propagation in a cavitating liquid [Louisnard, *Ultrason. Sonochem.*, 19, (2012) 56–65]. Both the vibration amplitude and the liquid level in the vial are parametrically varied. For low liquid levels, a threshold amplitude is required to form a cavitation zone at the bottom of the vial. For increasing vibration amplitudes, the bubble field slightly thickens but remains at the vial bottom, and the acoustic field saturates, which cannot be captured by linear acoustics. On the other hand, increasing the liquid level may promote the formation of a secondary bubble structure near the glass wall, a few centimeters below the free liquid surface. These predictions suggest that rather complex acoustic fields and bubble structures can arise even in such small volumes. As the acoustic and bubble fields govern ice nucleation during the freezing step, the final crystal's size distribution in the frozen product may crucially depend on the liquid level in the vial.

## Keywords:

Acoustic cavitation

Bubble structures

Propagation in bubbly liquids

Wave attenuation

Sono-freezing

Sono-crystallization

## 1. Introduction

Acoustic cavitation has been recognized as a useful method to trigger the nucleation of ice in supercooled water [1–9]. The mechanism underlying this effect at the microscopic scale is still a matter of debate, and two opposite theories exist [10–13]. Inertial cavitation, involving bubbles collapsing radially, is believed to be a necessary condition. However, single-bubble experiments have shown that non-inertial cavitation could also trigger ice nucleation [14], whereas other similar experiments showed the opposite [15].

Whatever the mechanism involved, this phenomenon can be used to control ice nucleation. Ultrasound-induced cavitation allows to trigger ice nucleation at low levels of supercooling, which is unfeasible in normal conditions, owing to the stochastic character of nucleation. This has interesting consequences for example in freeze-drying processes, where nucleation at moderate supercooling yields larger crystals and therefore enhances sublimation rates [7,9]. Moreover, controlling the nucleation temperature by ultrasound allows for inducing ice crystallization simultaneously in all

processed samples and thus for decreasing the dispersion of the crystal properties.

A commonly used industrial freeze-drying system consists of cold shelves, which allow to freeze simultaneously hundreds or thousands of glass vials containing the solution (typically a few mL). Andrieu and co-workers have combined this system with a vibrating plate, which transmits ultrasound to the vials through the vibration of the glass walls [7]. The system has been improved and instrumented, and a design experiment has been performed in order to study the influence of the ultrasonic power and supercooling level [9].

Unfortunately, in such experiments, the amplitude and spatial distribution of the acoustic pressure field is generally not known, and the bubble field is difficult to visualize, because of the presence of crystals. This makes the comparison with existing “single-bubble theories” difficult. Empirical correlations between various controllable experimental parameters (frequency, ultrasound amplitude, geometry, type of ultrasonic transducer, temperature) and the observable quantities (nucleation temperature, size and shape of crystals) can be made, but bypassing the knowledge of the acoustic and bubble fields. Yet, it is well known that cavitation fields are never spatially homogeneous and self-organize as localized bubble structures [16]. It is therefore interesting to gain more knowledge on the location of the bubbles in the vibrated vials used for freeze-drying of aqueous solutions. Moreover, in order to

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design and optimize new experimental setups, it would be useful to predict the conditions under which cavitation is really produced in the vial, for example a lower bound on the required vibration amplitude. Predicting the effect of other experimental parameters, such as the filling level in the vials, would also be welcome.

These issues are not specific to sono-crystallization and arise in all applications of acoustic cavitation, for example sonochemistry. Predicting the bubble and acoustic field *ab initio* has long been thought unfeasible (see [17] for a review), owing to the complexity of the physics involved. However, a recent model of acoustic wave propagation in cavitation fields has shown its ability to capture the main features on some well-known bubble structures [18,19]. In this communication, the latter model is used in the conditions of past sono-freezing experiments in vials [7,9]. The relative simplicity of the model is drawn on to vary the experimental parameters.

## 2. Model

The occurrence of acoustic cavitation is known to produce a self-attenuation of the acoustic field [20,21]. Therefore, correct modelling of acoustics in a cavitating liquid requires to account for the mechanical energy dissipated by the cavitation bubbles. This energy dissipation has two physical origins: thermal conduction in the gas/vapor contained in the bubble, and viscous friction in the violent radial motion around the bubbles. For inertial cavitation, involving bubble collapses, a correct estimation of these two contributions can only be made on the basis of a real nonlinear bubble dynamics [22].

Under some reasonable approximations, a model accounting for this energy dissipation was proposed [18]. It is based on Caflish equations [23] describing the propagation of a finite-amplitude pressure wave in a dilute bubbly mixture. This model, following the early idea of Foldy [24], expresses the effective pressure field at a given location by adding the average pressure waves radiated by neighboring bubbles to the primary field [25]. The system is closed by a non-linear equation of bubble dynamics, in which the local pressure field acts as the driving term. We emphasize that, by construction, such models do account for the bubble-bubble interaction, and essentially contain the same physics as discrete models of bubble clouds [26–29], which exhibit similar damping phenomena [27]. This issue is discussed briefly in Appendix A.

Since Caflish equations are difficult to solve in the range of acoustic pressures yielding inertial cavitation, they were reduced to a simpler form in [18], by retaining only the fundamental part of the acoustic field  $p(\mathbf{r}, t) = P(\mathbf{r})e^{i\omega t}$ . The complex amplitude  $P(\mathbf{r})$ , which carries the amplitude and phase of the field, was found to approximately obey a nonlinear Helmholtz equation:

$$\nabla^2 P + k^2(|P|)P = 0. \quad (1)$$

The complex wavenumber can be obtained from:

$$\Re(k^2) = \frac{\omega^2}{c_l^2} + \frac{4\pi R_0 \omega^2 N}{\omega_0^2 - \omega^2}, \quad (2)$$

$$\Im(k^2) = -2\rho_l \omega N \frac{\Pi_v + \Pi_{th}}{|P|^2}, \quad (3)$$

where  $\omega$  is the angular frequency,  $c_l$  the sound velocity of the pure liquid, and  $\rho_l$  its density. The quantities  $\Pi_v$  and  $\Pi_{th}$  are the average power dissipated by the bubble over one acoustic cycle, by viscous friction in the liquid, and by heat conduction in the bubble, respectively. The relation between  $\Im(k^2)$  and the latter quantities constitute the key point of the model, and allow to obtain realistic estimations of the attenuation coefficient of the wave  $\alpha = -\Im(k)$  [22,18].

The bubbles are assumed to have an ambient radius  $R_0$ . The bubble density  $N$  is assigned to zero in the zones where the acoustic pressure is less than the Blake threshold, and to a constant value  $N_0$  in the opposite case.

$$N = \begin{cases} N_0 & \text{if } |P| > P_B \\ 0 & \text{if } |P| < P_B \end{cases}. \quad (4)$$

This model has been shown to catch reasonably well the so-called cone bubble structures, visible under large area transducers [30–32], and the flare-like structures [16] in ultrasonic baths, with some reasonable choices, albeit arbitrary, of the free parameters  $R_0$  and  $N_0$  [19].

Another technical difficulty in acoustic models of sono-reactors is the way solid boundaries are handled. Precedent studies based on linear acoustics showed that modelling the latter by infinitely soft or infinitely rigid boundaries is not convenient [33,34]. Therefore, continuity equations are used to couple the wall vibrations to the liquid acoustic field, as detailed in Ref. [34].

In order to calculate  $\Pi_v(|P|)$  and  $\Pi_{th}(|P|)$ , bubble dynamics simulations were performed in conditions close to the experiments described in Refs. [7,9]. The bubble dynamics model used was taken from Toegel et al. [35], as in Ref. [18]. The bubbles ambient radius was set to  $R_0 = 5 \mu\text{m}$ , the frequency was  $f = 35,890 \text{ Hz}$ , the ambient pressure  $p_0 = 101,300 \text{ Pa}$ , and the driving pressure  $|P|$  was varied between  $0.1 p_0$  and  $3 p_0$ . The properties of supercooled water were taken at  $-5^\circ\text{C}$ : density  $\rho_l = 1000 \text{ kg/m}^3$  [36], surface tension  $\sigma = 76.3 \text{ mN m}^{-1}$  [37], sound velocity  $c_l = 1380 \text{ m s}^{-1}$  [38] and viscosity  $\mu_l = 2 \times 10^{-3} \text{ Pa s}$  [36]. As in earlier work [18],  $\Pi_{th}$  was found negligible compared to  $\Pi_v$  and the latter can be fitted in non-dimensional form by:

$$\frac{\Pi_v(|P^*|)}{|P^*|^2} = p_0 V_0 \omega \exp \left[ A + B \tan^{-1} \left( \frac{|P^*| - P_0^*}{\Delta P^*} \right) \right], \quad (5)$$

where  $p_0$  is the ambient pressure,  $|P^*| = |P|/p_0$  is the dimensionless acoustic pressure amplitude and  $V_0 = 4/3\pi R_0^3$  is the bubble ambient volume. In the considered conditions, the set of fitting parameters in (5) was found to be  $A = -2.207$ ,  $B = 5.337$ ,  $\Delta P^* = 0.2223$ ,  $P_0^* = 0.9628$ .

The problem was solved with COMSOL Multiphysics. The geometry and boundary conditions are described in Fig. 1. Axisymmetry allows to represent only a 2D longitudinal section of the vial. All external solid boundaries were assumed free, except the contact surface between the plate and the vial, where a displacement  $U_0$  was imposed. The mathematical formulation of these boundary conditions can be found in Ref. [34]. Finally, the free surface of the liquid was modelled by an infinitely soft wall.

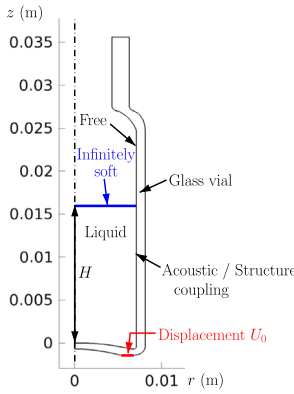
The domains were meshed with triangular elements, whose maximum size was 1 mm in the liquid, and 0.5 mm in the solid. The mesh was refined near the vial bottom because large gradients of acoustic pressure were expected in this zone. Finally, a mesh convergence study has been performed in a typical case, to ensure that the mesh was sufficiently fine.

In all simulations, we considered bubbles of ambient radii  $R_0 = 5 \mu\text{m}$ , yielding the Blake threshold  $P_B$  of  $1.056 p_0$ . The bubble density was arbitrary fixed to  $N_0 = 50 \text{ bubbles/mm}^3$ . The influence of these parameters will be discussed below.

## 3. Results

### 3.1. Results display.

For each simulation case presented hereinafter, all results will be presented as on Fig. 2, which is obtained as follows. First, a color plot of the peak dimensionless acoustic pressure  $|P^*| = |P|/p_0$  is



**Fig. 1.** Geometry and boundary conditions of the vial filled with liquid. The liquid level  $H$  is measured from the on-axis inner side of the vial wall.

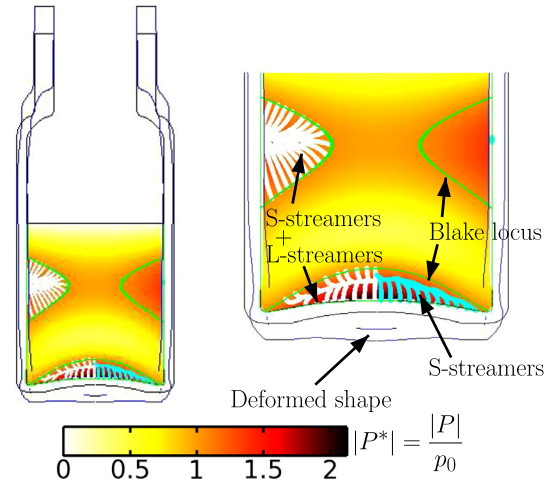
displayed and the locus of the Blake threshold ( $P_B^* = 1.056$ ) is materialized by a green line. The blue line represents the deformed shape of the vial, at phase  $\omega t = \pi$ , that is, when the contact surface is at its lowest position. Finally, the Bjerknes force field is deduced from the amplitude and phase of the pressure field, as detailed in Ref. [19]. Similarly to the latter reference, the streamlines of this field are sketched as follows, in order to materialize the plausible bubble paths in the liquid:

- streamlines are launched from those parts of the solid surfaces where the acoustic pressure exceeds the Blake threshold. These streamlines were named “S-streamers” in Ref. [19], and are displayed in the right part of the bottle (light-blue online);
- streamlines are launched indifferently from any point where the acoustic pressure exceeds the Blake threshold (displayed on the left part of the bottle, white lines). This set of streamlines includes the set of S-streamers, and the difference between the two sets are the streamlines originating from the Blake locus. The latter were called “L-streamers” in Ref. [19].

Experiments on cone or flare structures evidenced that S-streamers are always visible, whereas the set of L-streamers may be less dense. However, the latter reproduced reasonably well the filamentary structures located near the pressure antinodes, for example in ultrasonic baths. The relation between the Bjerknes force field and the actual location of bubbles remains an open issue, and for now, we chose to present both sets systematically.

### 3.2. Influence of vibration amplitude

First, the liquid height  $H$  was set to 7 mm, as in the experiments of Refs. [7,9], while the vertical displacement  $U_0$  of the contact surface was varied from  $0.009 \mu\text{m}$  to  $1 \mu\text{m}$ . The results are displayed on Fig. 3, in which a zoom on the liquid has been made for clarity. For the lowest amplitude (upper left plot), the pressure field is everywhere lower than the Blake threshold, so that there are no bubbles, and the acoustic field is essentially predicted by linear acoustics. As the amplitude is increased, the acoustic pressure increases in the bottom zone of the liquid and starts to exceed the Blake threshold. Bubbles can nucleate on the latter and travel toward the vial bottom, which remains attractive (on the three first graphs in Fig. 3). Thus, in this case, no S-streamers are visible. Above  $U_0 = 0.08 \mu\text{m}$  (five last graphs in Fig. 3), some parts of the vial bottom start to be repulsive for bubbles, because the latter produce a large traveling contribution in the wave [18], which strongly repels bubbles from the solid surface [39,16,40,19], and forms S-streamers [(light-blue online) lines on the right part of



**Fig. 2.** Example of simulation results ( $U_0 = 1 \mu\text{m}$ ,  $H = 16 \text{ mm}$ ,  $f = 35,890 \text{ Hz}$ ). The right graph is a zoom on the left one. Color plot: peak dimensionless acoustic pressure  $|P^*| = |P|/p_0$ . Lines on the left part of figure (white): streamlines of the Bjerknes force field launched from any point where the Blake threshold is exceeded. Lines on the right part of figure (light-blue): streamlines of the Bjerknes force field launched from any solid surface where the Blake threshold is exceeded. The line limiting the former set of streamlines materializes the Blake threshold locus (green). The deformed shape of the glass wall is also represented (blue) at phase  $\omega t = \pi$ . (For interpretation of the references to colour in this figure legend, the reader is referred to the web version of this article.)

the graph]. As amplitude increases, the S-streamers progressively invade the whole vial bottom and increase in height.

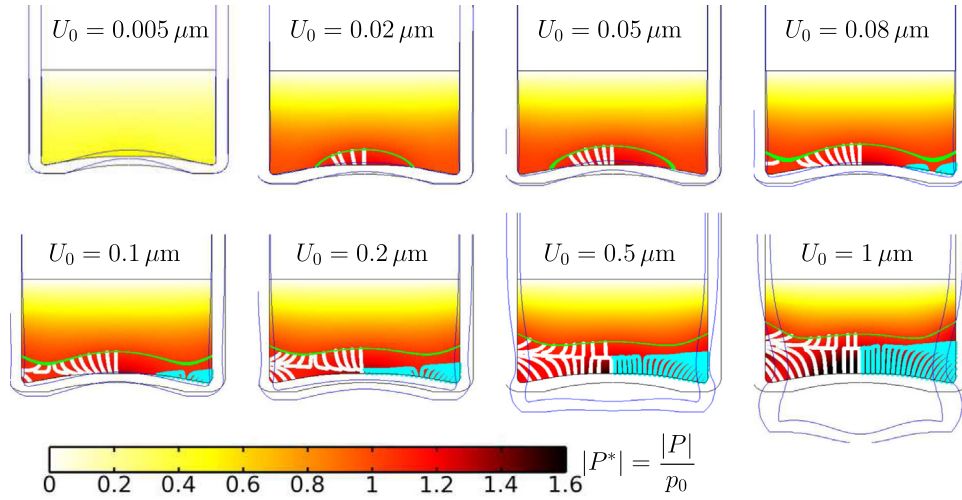
The self-saturation of the field can be clearly seen on Fig. 4, which displays the pressure profiles on the symmetry axis, non-dimensionalized by  $\rho_l c_l \omega U_0$ , for the eight values of  $U_0$  used in Fig. 3. If the field were given by linear acoustics, all profiles would merge on a universal curve (represented by square symbols). It is seen that for the lowest amplitude  $U_0 = 0.005 \mu\text{m}$ , for which no cavitation is predicted, the pressure profile indeed merges with this curve. However, for increasing amplitude the dimensionless pressure profiles progressively decrease, down to approximately 2% of the universal curve for the highest amplitude  $U_0 = 1 \mu\text{m}$  (lowest curve on Fig. 4, corresponding to the rightmost bottom plot of Fig. 3). This clearly illustrates that linear acoustics would predict unrealistic huge values of the acoustic pressure field in the vial.

The displacement amplitude of the vial wall boundaries depends on the point considered and the driving level. It ranges from values close to  $U_0$  for large drivings, to about  $40 U_0$  for low drivings, where no cavitation occurs (see [Supplementary material, Fig. S1 and caption](#)).

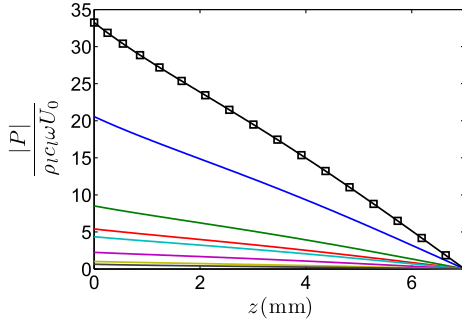
Finally, in the context of sono-freezing, these results for the liquid level used in experiments [7,9] yields two important conclusions. First, the cavitation field always appears at the bottom of the vial, and this promotes the nucleation of smaller ice crystals in the bottom part. This reinforces the effect of thermal gradients that necessarily arise when the sample is cooled from below [7,41]. This suggests that a different insonification method should be designed if one wish to avoid this synergetic effect, resulting in very broad crystal size distributions. On the other hand, because of self-saturation, it can be seen that a large increase of the driving amplitude does not produce large variations neither of the acoustic field amplitude, nor of the cavitation field extension. There might be therefore an optimum amplitude level sufficient to trigger ice nucleation.

### 3.3. Influence of liquid height

For a given amplitude  $U_0 = 1 \mu\text{m}$ , the liquid height has been varied between 6 mm and 20 mm. The resulting acoustic field



**Fig. 3.** Acoustic field and bubble paths for increasing driving amplitudes  $U_0$  and a water level  $H = 7$  mm, at  $f = 35,890$  Hz. The figures zoom on the liquid for clarity. From left to right and top to bottom:  $U_0 = 0.005, 0.02, 0.05, 0.08, 0.1, 0.2, 0.5, 1$   $\mu\text{m}$ . See Fig. 2 for description.



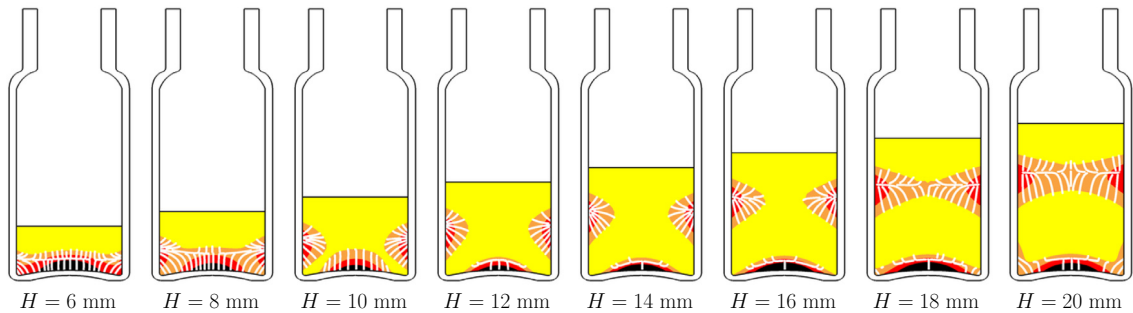
**Fig. 4.** Dimensionless acoustic pressure along the symmetry axis, in the conditions of Fig. 3. From top to bottom:  $U_0 = 0.005, 0.02, 0.05, 0.08, 0.1, 0.2, 0.5, 1$   $\mu\text{m}$ . The squares (merging exactly with the curve  $U_0 = 0.005$   $\mu\text{m}$ ) correspond to the universal line predicted by linear acoustics.

and bubble paths are represented on Fig. 5. Above a critical liquid level, the region where the Blake threshold is exceeded splits in two parts (from  $H = 10$  mm and above on Fig. 5). A dome-shaped region appears on the vial bottom, and a toroidal region builds up along the vertical glass wall, with a pressure antinode against the wall. The latter remains approximately at a constant distance from the liquid free surface as the level is increased. The streamlines in this region show that a classical streamer can be formed, with bubbles attracted by the wall pressure antinode. Conversely, at the vial bottom, the shape of S-streamers show that the wall is

repulsive for the bubbles in a dome-shaped region extending almost up to the Blake locus.

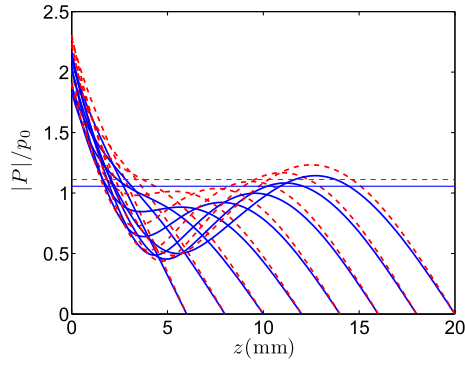
On the two last graphs of Fig. 5 ( $H = 18$  and  $20$  mm), it can be seen that the Blake threshold is exceeded in a small region on the axis, so that the toroidal region finally fills in the whole width of the vial. This occurs because the liquid level is large enough to enable the formation of a longitudinal standing wave, as evidenced by the axial pressure profiles displayed on Fig. 6 (solid lines). Moreover, for the largest level ( $H = 20$  mm), it can be seen that a very small conical S-streamer appears near the wall, which means that the latter becomes repulsive. This is due to the fact that in this small region, the bubble dissipation becomes large enough to convert the local standing wave into a radial traveling wave. A zoom on the potentially resulting structure is displayed on Fig. 7, where streamlines of the Bjerknes force field have been launched from arbitrary points. The result shows some similarity with the flare structures described in Refs. [16,19].

These results suggest that changing the supercooled liquid level in the vial can have important consequences on the bubble field, and therefore on ice nucleation locations, and finally on the crystalline structure of the frozen product. The bubble structure appearing near the wall for high levels, exemplified in Fig. 7, may trigger ice nucleation in this region. In such a case, one would expect that the freezing front would not only travel upwards from the vial bottom, as observed in past experiments, but that another front would start from the vertical walls and travel towards the vial axis. This should have visible consequences on the morphology of ice crystals

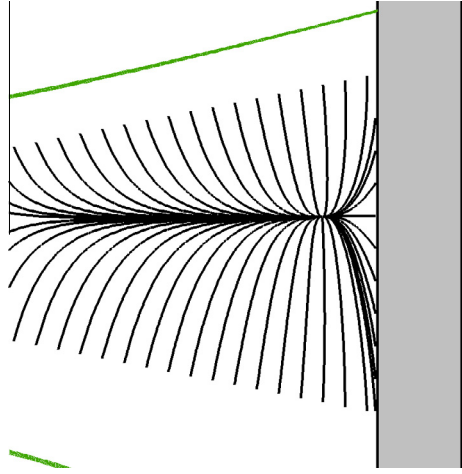


**Fig. 5.** Acoustic field and bubble paths for an amplitude  $U_0 = 1$   $\mu\text{m}$  and a liquid level  $H = 6$  mm,  $8$  mm,  $10$  mm,  $12$  mm,  $14$  mm,  $16$  mm,  $18$  mm,  $20$  mm from left to right, at  $f = 35,890$  Hz. See Fig. 2 for description. For readability, the color plot is restricted to 4 levels, corresponding to  $|P|$  in the intervals (from lightest to darkest shade):  $[0, P_B^*]$ ,  $[P_B^*, 1.25]$ ,  $[1.25, 1.5]$ ,  $|P| > 1.5$ , where  $P_B^* = 1.056$  is the dimensionless Blake threshold.





**Fig. 6.** Acoustic pressure profile along the symmetry axis, in the conditions of Fig. 5. Solid lines (blue):  $R_0 = 5 \mu\text{m}$ ; dashed lines (red):  $R_0 = 3 \mu\text{m}$ . All curves end at  $z = H$  and are therefore self-explanatory. The horizontal thin lines indicates the respective Blake thresholds for  $R_0 = 5 \mu\text{m}$  and  $R_0 = 3 \mu\text{m}$ . (For interpretation of the references to colour in this figure legend, the reader is referred to the web version of this article.)



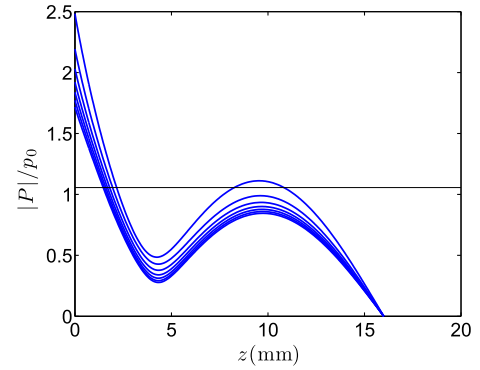
**Fig. 7.** Zoom on the flare-like structure appearing near the vial wall for  $H = 20 \text{ mm}$  (rightmost graph on Fig. 5). The slanted lines (green) are the Blake loci. The vial wall is represented in gray. (For interpretation of the references to colour in this figure legend, the reader is referred to the web version of this article.)

nucleated in this region. A specific experimental campaign should be performed in order to confirm these predictions.

### 3.4. Influence of parameters $R_0$ and $N_0$

The calculations of Section 3.3 have been repeated in exactly the same conditions, but assuming bubbles of ambient radius  $R_0 = 3 \mu\text{m}$ . The resulting axial pressure profiles are represented with dashed lines on Fig. 6. They are slightly larger than the ones obtained for  $R_0 = 5 \mu\text{m}$ , but their global evolution for increasing liquid levels remains unchanged.

On the other hand, the calculations were repeated for  $R_0 = 5 \mu\text{m}$ ,  $H = 16 \text{ mm}$ ,  $U_0 = 1 \mu\text{m}$ , and varying the bubble density  $N_0$  from 20 to 200 bubbles/ $\text{mm}^3$  by steps of 30. Similar ranges of bubble densities have been reported in bubble clouds under horn-type transducers [27]. As expected, the resulting pressure profiles along  $z$  (Fig. 8) decrease for increasing  $N_0$ , which is the logical consequence of an increased interaction between bubbles as they are more densely distributed. However, all profiles on Fig. 8 maintain the same shape. It can be noted that for the lowest bubble density ( $N_0 = 20 \text{ bubbles}/\text{mm}^3$ ), the Blake threshold is exceeded near  $z = 10 \text{ mm}$ , because less bubbles produce less wave



**Fig. 8.** Acoustic pressure profile along the symmetry axis, at  $f = 35,890 \text{ Hz}$ , for  $U_0 = 1 \mu\text{m}$ ,  $H = 16 \text{ mm}$ , and a bubble density  $N_0 = 20, 50, 80, 110, 140, 170, 200 \text{ bubbles}/\text{mm}^3$  (from top to bottom). The horizontal thin line indicates the Blake threshold.

attenuation. This means that keeping all parameters constant, lower bubble densities would favor the appearance of the bubble structure in the middle of the liquid (similar to the ones visible on the two rightmost graphs of Fig. 5). This result is interesting and rather counter-intuitive, and suggests that in some configurations, injecting less bubbles in the model yields more bubbles-populated regions in the liquid.

## 4. Conclusions

Calculations of the acoustic and bubble fields have been performed in a vial insonified from the bottom by a vibrating plate, in the conditions of past sono-freezing experiments. The results confirmed that the bubble field was located at the bottom of the vials for low liquid levels, but evidenced also a more complex, non trivial bubble structure as the liquid level increases. Although these results must be validated against experiments, it has been demonstrated that even in such small samples involved by freezing aqueous solutions in glass vials, spatial variations of the crystal sizes and shapes may occur because of the inhomogeneity of the acoustic and bubble fields. The knowledge of the latter cannot therefore be disregarded in such experiments. The influence of other parameters, such as the clamping of some part of the vial, could also be studied. On the other hand, it has been shown that linear acoustics calculations yield unrealistic predictions in such problems.

## Acknowledgments

The authors acknowledges the support of the French Agence Nationale de la Recherche (ANR), under grant SONONUCLICE (ANR-09-BLAN-0040-02) “Ice nucleation control by ultrasounds for freezing and freeze-drying processes optimization”.

## Appendix A. On the bubble–bubble interaction in the model

Following a suggestion of a reviewer, this appendix examines whether the model used in this paper, which is a simplified version of Caflish equations [23], accounts in some way for the interaction between bubbles, as do “discrete” models describing the mutual influence of bubbles oscillating in pairs [26] or in clusters [27]. This discussion intends to clarify briefly and as simply as possible this issue, at the price of mathematical rigor. The main lines of the discussion are borrowed to Prosperetti [25].

Interacting bubble models, such as Eq. (7) in [26], or Eq. (2) in the cluster model of Yasui and co-workers [27], consist in a bubble radial dynamics equation written as:

$$R\ddot{R} + \frac{3}{2}\dot{R}^2 = \frac{1}{\rho_l}(p_L - p_S(\mathbf{r}, t) - p_\infty) - \sum_{i=1}^{N-1} \frac{1}{d_i} (2\dot{R}_i^2 R_i + R_i^2 \ddot{R}_i), \quad (\text{A.1})$$

where for simplicity, we have omitted refining terms accounting for liquid compressibility and for water evaporation/condensation at the bubble wall. The first line in Eq. (A.1) is a classical Rayleigh equation, where  $R$  is the bubble radius,  $\rho_l$  is the liquid density,  $p_L$  is the pressure in the liquid at the bubble wall,  $p_S(t) = p_A(\mathbf{r}) \sin \omega t$  is the driving acoustic pressure field at the bubble centroid location  $\mathbf{r}$  if the latter were absent, and  $p_\infty$  is the static pressure. The additional term in the second line of Eq. (A.1) describes the pressure fields radiated by  $N - 1$  neighboring bubbles, among which the  $i^{\text{est}}$  one is located at a distance  $d_i$  from the bubble described by (A.1), and has an instantaneous radius  $R_i(t)$ .

Eq. (A.1) therefore states simply that the “effective” pressure field driving the described bubble is basically:

$$p_{\text{eff}}(\mathbf{r}, t) = p_S(\mathbf{r}, t) + \rho_l \sum_{i=1}^{N-1} \frac{1}{d_i} (2\dot{R}_i^2 R_i + R_i^2 \ddot{R}_i). \quad (\text{A.2})$$

Assuming further that the  $N - 1$  other bubbles are identical, their dynamics  $R_i(t)$  are solutions of equations formally similar to (A.1). Furthermore, assuming that bubbles are numerous enough so that they can be described by a bubble density  $n(\mathbf{r}_0)$ , and noting that  $2\dot{R}^2 R + R^2 \ddot{R} = \dot{V}/4\pi$ , the discrete sum in Eq. (A.2) can be replaced by a volume integral:

$$p_{\text{eff}}(\mathbf{r}, t) = p_S(\mathbf{r}, t) + \rho_l \iiint_V \frac{\dot{V}(\mathbf{r}_0, t)}{4\pi|\mathbf{r} - \mathbf{r}_0|} n(\mathbf{r}_0) d\mathbf{r}_0, \quad (\text{A.3})$$

where  $V(\mathbf{r}_0, t)$  is the instantaneous volume of the (numerous) bubbles located at  $\mathbf{r}_0$ .

Now, having in mind a model describing the spatial variations of  $p_{\text{eff}}$ , we note that the Green function for the Laplacian can be readily recognized in the integral, so that taking the Laplacian of Eq. (A.3), we get:

$$\begin{aligned} \nabla^2 p_{\text{eff}}(\mathbf{r}, t) &= \nabla^2 p_S(\mathbf{r}, t) - \rho_l \iiint_V \dot{V}(\mathbf{r}_0, t) \delta(\mathbf{r} - \mathbf{r}_0) n(\mathbf{r}_0) d\mathbf{r}_0 \\ &= \nabla^2 p_S(\mathbf{r}, t) - \rho_l n(\mathbf{r}) \frac{\partial^2 V}{\partial t^2}(\mathbf{r}, t), \end{aligned}$$

where  $\delta$  stems for the Dirac function. In this equation,  $p_S$  is the acoustic pressure field that would drive the bubble if it were alone (in absence of interaction), and satisfies therefore a standard linear propagation equation in the pure liquid. Thus, the above equation finally writes:

$$\frac{1}{c_l^2} \frac{\partial^2 p_S}{\partial t^2}(\mathbf{r}, t) - \nabla^2 p_{\text{eff}}(\mathbf{r}, t) = \rho_l n(\mathbf{r}) \frac{\partial^2 V}{\partial t^2}(\mathbf{r}, t),$$

where  $c_l$  is the sound velocity in the pure liquid. If we replace  $p_S$  by  $p_{\text{eff}}$  in the first term of the LHS of this equation,<sup>1</sup> we get exactly the first equation of the Caffish model ([23,42]):

$$\frac{1}{c_l^2} \frac{\partial^2 p_{\text{eff}}}{\partial t^2}(\mathbf{r}, t) - \nabla^2 p_{\text{eff}}(\mathbf{r}, t) = \rho_l n(\mathbf{r}) \frac{\partial^2 V}{\partial t^2}(\mathbf{r}, t), \quad (\text{A.4})$$

of which our model is an approximation (see Ref. [18] for details).

The Caffish model is then closed by calculating the bubble volume  $V(\mathbf{r}, t) = \frac{4}{3}\pi R^3(\mathbf{r}, t)$  from a bubble dynamics equation driven by the local *effective* pressure field  $p_{\text{eff}}(\mathbf{r}, t)$ , exactly in the same way as in (A.1),  $R_i$  is calculated as a solution of (A.1) itself!

The continuous path between the two approaches summarized above shows that the bubble interaction is indirectly included in our model (as for any model derived from Caffish equations), but in a global fashion. As detailed in [18], what we call “the acoustic field” is the first harmonic part of the effective field  $p_{\text{eff}}$  from (A.4), or from (A.1). It is spatially damped because as shown in [18], part of the effective field energy is dissipated by viscous friction in the radial motion of the liquid around the bubbles (and to a lesser extent by heat transfer in the bubble).

As a final comment, these remarks might also shed light on one major result of Ref. [27] (Section V and Fig. 6). It is found there that the actual pressure profile on the axis (solid line) is far less than that predicted by the analytical solution of linear acoustics for a circular piston [Eq. (15) in the latter reference]. This is exactly the result that we obtain in all the simulations with our model, either in the present paper (see Fig. 4), or in Ref. [18] (Fig. 6). We suggest therefore that in Section V of Ref. [27], if the peak value of  $p_{\text{eff}}(x)$  from Eq. (A.2) had been evaluated instead of  $p_a(x)$ , the authors might have recovered values close to the solid line of their Fig. 5.

Following the same line of reasoning, we also note that if we were to reproduce Figs. 9–11 of Ref. [27] with our model, we would obtain the dashed line by driving the bubble with the linear acoustic prediction  $p_S(t)$ , and the solid line by driving the bubble with the pressure field  $p_{\text{eff}}(t)$  calculated with our model.

We conclude therefore that both approaches contain in fact the same physics, and that our model (being a derived product of Caffish Model) catches the bubble–bubble interaction at least approximately. The informal comments of this appendix would probably deserve to be revisited more thoroughly, and possibly extended to more complex configurations, such as mixtures of bubbles of different sizes [29]. This may motivate a dedicated study. We thank the reviewer for suggesting this interesting discussion.

## Appendix B. Supplementary data

Supplementary data associated with this article can be found, in the online version, at <http://dx.doi.org/10.1016/j.jultsonch.2015.03.008>.

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<sup>1</sup> This assumption is linked to an hypothesis of dilute liquid-bubble mixture, in which we will not enter in details here

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